

### Officer/Ownership Certification

APL policy, as stated in APL's Standards of Ethics and Conduct, prohibits APL staff members, including Leased Workers (LW), from benefiting financially or otherwise, either directly or indirectly, from APL transactions, including those involving outside organizations, except in limited circumstances. In order to avoid a violation of this policy, the Laboratory cannot subcontract with privately-held companies at any tier in the subcontracting chain in which an owner or officer is an LW assigned to work at APL or the spouse of an LW assigned to work at APL, unless the only item procured from such company is the services of the LW owner/officer. With regard to publicly-held companies, the assignment to APL of an individual who is an owner or officer, or spouse of an owner or officer, of such companies must be reviewed on a case-by-case basis to ensure that no conflict of interest exists under APL policy. Ownership of publicly-held stock will not normally constitute a conflict of interest.

Potential bidders for the subcontract(s) to be awarded pursuant to this RFP must be aware of this policy and must complete the requisite certification regarding their ownership and control and that of any subcontractors said bidders plan on utilizing to perform any subsequent subcontract. Proposals will not be deemed responsive if they do not contain the requisite certification.

Bidder hereby certifies that:

1. No owner or officer, or spouse of any owner or officer, of Bidder is, as of the date of this Certification, assigned to work at APL as a Leased Worker except as identified below:

Name

Officer Position Held/Nature of Ownership Interest

2. To the best of Bidder's knowledge, after reasonable inquiry, including receipt of a certification identical to this one, no owner or officer, or spouse of any owner or officer, of any company from which Bidder plans to acquire services to meet its obligations under any subcontract awarded pursuant to this RFP, is, as of the date of this Certification, assigned to work at APL as a Leased Worker except as identified below:

Name

Officer Position Held/Nature of Ownership Interest

3. It will not provide, under any subcontract awarded pursuant to this RFP, the services of any individual who is an owner or officer, or spouse of any owner or officer, of Bidder or any subcontractor to Bidder without providing APL with the information requested in 1 and 2 above and obtaining APL's written agreement that such assignment would not violate APL's Standards of Ethics and Conduct.
4. Bidder understands that a) if APL determines that this Certification did not accurately represent the information requested or b) if APL determines that Bidder has not complied with the terms of item 3, APL shall terminate any subcontract awarded to Bidder pursuant to this RFP in accordance with the TERMINATION Article of the Draft Subcontract contained in the RFP.

Company

Address

**CONSENT TO USE OF ELECTRONIC SIGNATURES**

BY CHECKING HERE, I AGREE TO THE USE OF ELECTRONIC SIGNATURES AS VALID, LEGALLY BINDING SUBSTITUTES FOR ORIGINAL, HANDWRITTEN SIGNATURES ON THIS DOCUMENT.

Company \_\_\_\_\_

Name (signature) \_\_\_\_\_

Name (printed) \_\_\_\_\_

Title \_\_\_\_\_ Date of execution \_\_\_\_\_