

Developing Complex Shape-Morphing Metallic Structures for Space Applications

Ian D. McCue, Andrew M. Lennon, Drew P. Seker, Chuck Hebert, James P. Mastandrea, Christopher M. Peitsch, Timothy J. Montalbano, Cavin T. Mooers, Joseph Sopcisak, Ryan H. Carter, Steve Szczesniak, Morgana M. Trexler, and Steven M. Storck

ABSTRACT

This article describes an ongoing Johns Hopkins University Applied Physics Laboratory (APL) fundamental additive manufacturing study to fabricate large-scale (up to $10 \times 10 \times 13$ in.³) shape-memory alloy components with locally tailored actuation stroke, force, and activation temperature.

The goal of the study described in this article is to develop novel structures capable of precise, self-guided shape change under an external stimulus. These materials will be advantageous when used in environments where direct human intervention is impractical (e.g., invasive biomedical devices or deep underwater).^{1,2} Perhaps the most exciting application of this concept is for deployable space structures. Owing to launch constraints, spacecraft structures are limited in size, weight, and power. However, once in space, these structures are critically reliant on large, kinematically deployed components—solar arrays, solar sails, radar antennas, etc.—for operational capabilities.^{3,4} Current approaches rely on mechanically driven devices to expand and to remain in a deployed configuration, and these devices are heavy and have bulky stowage volumes.^{3,4}

To combat these challenges, we have spent the last 2.5 years developing the capability to “program” complex shape change by laser-based additive manufacturing (AM) of shape-memory metals. Shape-memory alloys (SMAs) are a unique class of functional materials with the ability to convert thermal energy into mechanical work by recovering their shape upon an increase in temperature (see Figure 1). When mechanical load is applied to an SMA, it undergoes a unique permanent deformation mechanism (called detwinning), which can only be

reversed by heating the material (by a few tens of degrees centigrade) to induce a reversible phase transformation.

Despite their exciting functional properties, SMAs are notoriously difficult to process, shape, and form. The shape-memory effect is highly sensitive to composition variance at the 100-ppm level, impurities (carbon and oxygen), and the underlying material microstructure, all of which can be altered during high-temperature forming processes.⁵ As a result, conventional manufacturing of SMAs is limited to wire and flat sheet production methods. AM offers the unique capability for free-form geometry generation, which could overcome this limitation and enable the fabrication of complex shape-changing components. Our ultimate vision is to manufacture structures that will compactly fold into a small volume for launch and expand into complex geometries in space when exposed to the heat of the sun.

In the study described here, we focused on the fabrication of Nitinol (a roughly equiatomic NiTi alloy) components. Nitinol is by far the most widely used SMA because of its excellent mechanical properties, biocompatibility, and corrosion resistance.^{6–9} In addition, Nitinol exhibits superior shape memory and super-elastic effects, capable of restoring large strains of up to 8%.⁵ Our efforts to date have centered on improving the quality of printed Nitinol components, targeting

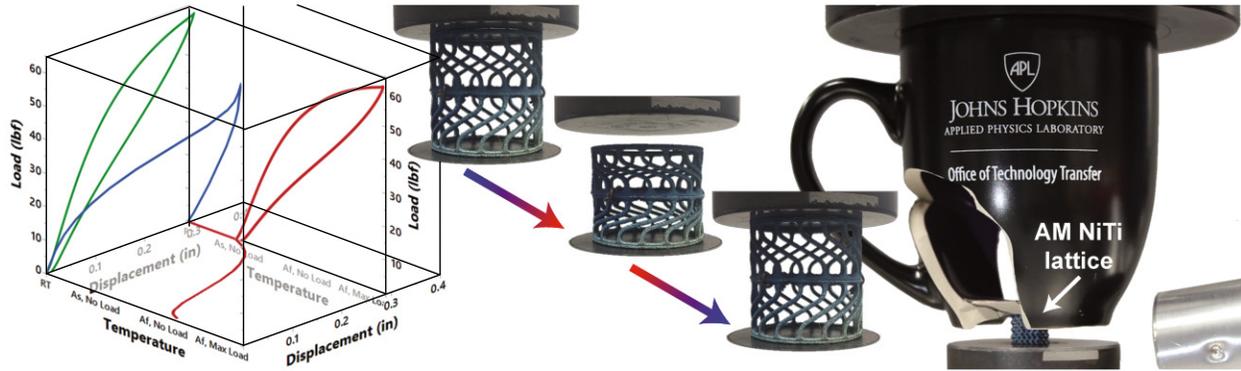


Figure 1. Overview of SMAs. Schematic (left) of the shape-memory and superelastic effects versus load, displacement, and qualitative temperature data for the AM Nitinol structures (middle and right). Blue, Shape-memory loading path, which occurs when the austenite phase transformation of Nitinol is above room temperature. Nitinol starts in the martensite phase and during deformation undergoes a reorientation of the martensite (called detwinning) that results in a permanent macroscopic shape change. Upon heating (red), the material undergoes a reversible phase transformation to austenite and recovers the shape memory strain if left unconstrained (zero load, lower red curve, middle image). If the material is constrained (fixed displacement, upper red curve, right image), the phase transformation during heating creates a large restoration force that can be used to do mechanical work. Green, Superelastic loading path, which occurs when the austenite transition of Nitinol is below room temperature. During deformation, the material undergoes a stress-induced phase transformation to martensite and accumulates shape memory strain via detwinning. Once the load is released, the martensite transforms back to the austenite phase, recovering the shape memory strain.

key metrics such as porosity and crack density; scalable fabrication (i.e., ensuring the AM processing parameters developed for small volumes [$\sim 1 \text{ mm}^3$] scales to large volumes [$\sim 100 \text{ cm}^3$]); and studying the effects of laser processing parameters on microstructural characteristics, mechanical behavior, and shape-morphing properties. We have successfully printed large parts and demonstrated repeatable shape-memory behavior (see Figure 2) by building on a lattice structure to control the thermal history during fabrication.¹⁰

More recently, our focus has shifted to modifying the Ni:Ti ratio within builds to achieve tailored actuation. The impact of laser processing parameters on the microstructure of AM components (size of secondary phases and grain texture) is a very active research area.^{11,12} However, the impact of laser parameters is far more nuanced in SMAs because the transformation temperature (i.e., the temperature for recoverable shape change) is highly sensitive to the material composition. For instance, the transformation temperature of a $\text{Ni}_{50}\text{Ti}_{50}$ (at.%) alloy is $\sim 80^\circ\text{C}$, while the transformation of a $\text{Ni}_{51}\text{Ti}_{49}$ (at.%) alloy is $\sim -20^\circ\text{C}$.⁵ Depending on the laser processing parameters, the thermal history in an AM Nitinol component can vary dramatically, which causes samples from identical feed-stock to have transformation

temperatures tens of degrees apart.^{6,13} Through a combined high-fidelity modeling and experimental effort, we recently developed an analytical tool capable of predicting the transformation temperature as a function of laser inputs.

We are now combining this tool with standard mechanical design approaches to engineer printed components that have local regions with specific transformation temperatures, rates, and displacements. A key challenge in creating a complex deployable space structure is to avoid collision during shape change. Deployment needs to occur sequentially and in a specific order, analogous to origami. Developing the capability for complex shape-morphing kinematics—without the need for and use of specialized and heavy external motors—will require joints to activate at different rates

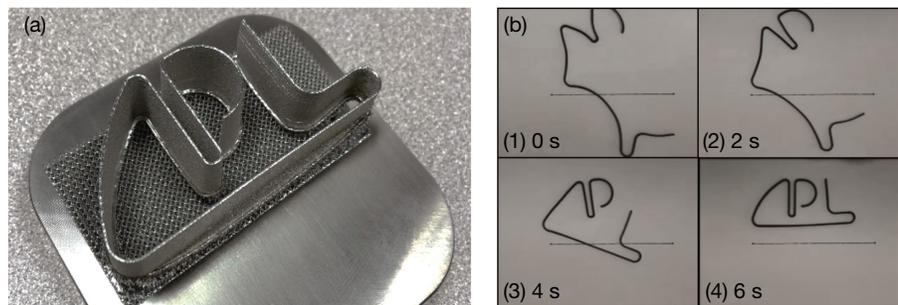


Figure 2. Proof-of-concept demonstration. This figure shows that our optimized processing parameters and lattice support approach successfully translates to complex, large-scale, shape-memory components. (a) APL logo part ($65 \text{ mm} \times 32 \text{ mm} \times 20 \text{ mm}$) built on a lattice. (b) Time stamps of deformed logo undergoing shape recovery upon heat exposure. (Reprinted with permission from Ref. 10.)

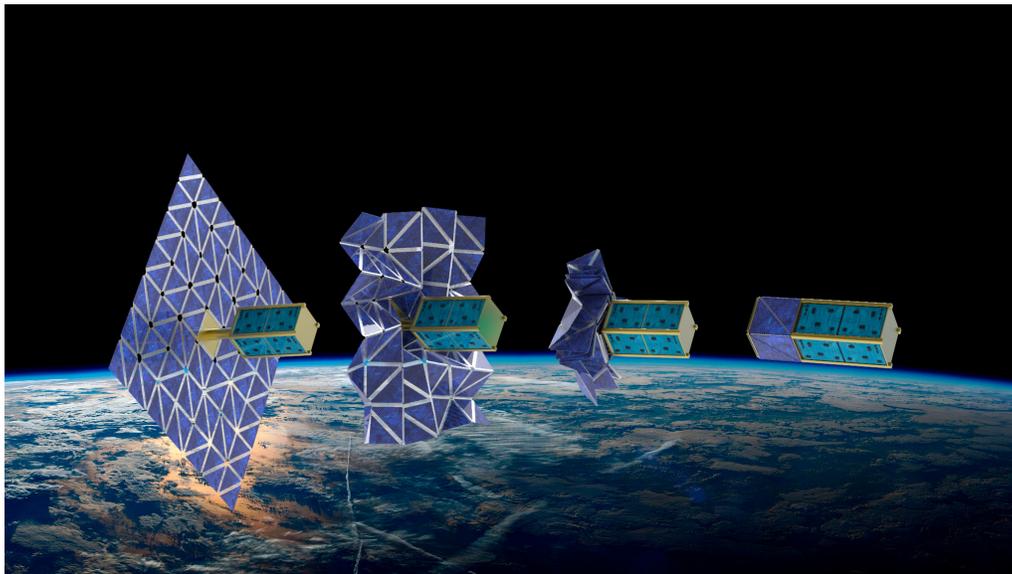


Figure 3. Vision of APL study. Combining the functional properties of shape memory alloys with 3-D printing to develop next-generation deployable space structures.

upon a thermal stimulus. An example of this vision is shown in Figure 3.

REFERENCES

- ¹M. T. Tolley, S. M. Felton, S. Miyashita, D. Aukes, D. Rus, and R. J. Wood, "Self-folding origami: Shape memory composites activated by uniform heating," *Smart Mater. Struct.*, vol. 23, no. 9, 094006, pp. 1–9, 2014, <https://doi.org/10.1088/0964-1726/23/9/094006>.
- ²E. Peraza-Hernandez, D. Hartl, E. Galvan, and R. Malak, "Design and optimization of a shape memory alloy-based self-folding sheet," *J. Mech. Des.*, vol. 135, no. 11, pp. 111007-1–111007-11, 2013, <https://doi.org/10.1115/1.4025382>.
- ³S. Jacobs, C. Coconnier, D. DiMaio, F. Scarpa, M. Toso, and J. Martinez, "Deployable auxetic shape memory alloy cellular antenna demonstrator: Design, manufacturing and modal testing," *Smart Mater. Struct.*, vol. 21, no. 7, 075013, pp. 1–12, 2012, <https://doi.org/10.1088/0964-1726/21/7/075013>.
- ⁴W. M. Sokolowski and S. C. Tan, "Advanced self-deployable structures for space applications," *J. Spacecr. Rockets*, vol. 44, no. 4, pp. 750–754, 2007, <https://doi.org/10.2514/1.22854>.
- ⁵D. C. Lagoudas, Ed., *Shape Memory Alloys*. Boston, MA: Springer US, 2008, <https://doi.org/10.1007/978-0-387-47685-8>.
- ⁶M. Elahinia, N. Shayesteh Moghaddam, M. Taheri Andani, A. Amerinatanz, B. A. Bimber, and R. F. Hamilton, "Fabrication of NiTi through additive manufacturing: A review," *Prog. Mater. Sci.*, vol. 83, pp. 630–663, 2016, <https://doi.org/10.1016/j.pmatsci.2016.08.001>.
- ⁷Z. Khoo, Y. Liu, J. An, C. Chua, Y. Shen, and C. Kuo, "A review of selective laser melted NiTi shape memory alloy," *Materials (Basel)*, vol. 11, no. 4, 519, pp. 1–12, 2018, <https://doi.org/10.3390/ma11040519>.
- ⁸B. E. Franco, J. Ma, B. Loveall, G. A. Tapia, K. Karayagiz, et al., "A sensory material approach for reducing variability in additively manufactured metal parts," *Sci. Rep.*, vol. 7, no. 1, 3604, pp. 1–12, 2017, <https://doi.org/10.1038/s41598-017-03499-x>.
- ⁹J. Ma, B. Franco, G. Tapia, K. Karayagiz, L. Johnson, et al., "Spatial control of functional response in 4D-printed active metallic structures," *Sci. Rep.*, vol. 7, no. 1, 46707, pp. 1–8, 2017, <https://doi.org/10.1038/srep46707>.
- ¹⁰I. McCue, C. Peitsch, T. Montalbano, A. Lennon, J. Sopcisak, et al., "Scalable laser powder bed fusion processing of nitinol shape memory alloy," *MRS Commun.*, vol. 9, no. 4, pp. 1214–1220, 2019, <https://doi.org/10.1557/mrc.2019.134>.
- ¹¹J. Dutta Majumdar and I. Manna, "Laser material processing," *Int. Mater. Rev.*, vol. 56, nos. 5–6, pp. 341–388, 2011, <https://doi.org/10.1179/1743280411Y.0000000003>.
- ¹²W. E. Frazier, "Metal additive manufacturing: A review," *J. Mater. Eng. Perform.*, vol. 23, no. 6, pp. 1917–1928, 2014, <https://doi.org/10.1007/s11665-014-0958-z>.
- ¹³S. Dadbakhsh, M. Speirs, J.-P. Kruth, J. Schrooten, J. Luyten, and J. Van Humbeeck, "Effect of SLM parameters on transformation temperatures of shape memory nickel titanium parts," *Adv. Eng. Mater.*, vol. 16, no. 9, pp. 1140–1146, 2014, <https://doi.org/10.1002/adem.201300558>.



Ian D. McCue, Research and Exploratory Development Department, Johns Hopkins University Applied Physics Laboratory, Laurel, MD

Ian D. McCue is a Senior Professional Staff member in APL's Research and Exploratory Development Department with expertise in physical metallurgy and

additive manufacturing. He has a BS and a PhD in materials science and engineering, both from Johns Hopkins University. Ian's research focus has been on diffusion, morphological evolution, and phase separation during materials processing. He has developed computational analysis tools to evaluate laser-material interactions including thermo-optical impact of metals such as refractories, superalloys, and lightweight metals. His email address is ian.mccue@jhuapl.edu.



Andrew M. Lennon, Research and Exploratory Development Department, Johns Hopkins University Applied Physics Laboratory, Laurel, MD

Andrew M. Lennon is an applied scientist in APL's Research and Exploratory Development Department. He has a BS in engineering mechanics from the University of Delaware and an MS and a PhD in mechanical engineering from Johns Hopkins University Maryland. Andrew combines a broad fundamental base of mechanical engineering and material science for use in the characterization, application, and failure analysis of advanced materials. He has established a broad base of customers and works with APL colleagues who deal with the design, fabrication, and review of material structures. His email address is andrew.lennon@jhuapl.edu.



Drew P. Seker, Research and Exploratory Development Department, Johns Hopkins University Applied Physics Laboratory, Laurel, MD

Drew P. Seker is a mechanical engineer in APL's Research and Exploratory Development Department. He has a BS in mechanical engineering from Pennsylvania State University (Penn State), and a master's in mechanical engineering from Johns Hopkins University. His recent work concentrates on reverse engineering. Other work includes supporting mechanical and electromechanical projects through design (using various computer-aided design programs) and analysis, as well as coordinating fabrication and inspection. Drew has designed parts from simple mounting brackets and heat sinks to complex experimental fixtures and electronic packages and has been responsible for the thermal and/or structural analysis necessary as well as for procuring thousands of dollars in material orders. His email address is drew.seker@jhuapl.edu.

Chuck Hebert, Force Projection Sector, Johns Hopkins University Applied Physics Laboratory, Laurel, MD

Chuck Hebert is mechanical engineer in APL's Force Projection Sector. He has a BS and master's in mechanical engineering from University of Maryland Baltimore County. His recent work includes mechanical design for shape morphing structures and other aerospace platforms. His email address is chuck.hebert@jhuapl.edu.



James P. Mastandrea, Space Exploration Sector, Johns Hopkins University Applied Physics Laboratory, Laurel, MD

James P. Mastandrea is a Senior Professional Staff member in APL's Space Exploration Sector. He has a BS in Mechanical Engineering and Materials Science & Engineering, and an MS and PhD in Materials Science & Engineering all from the University of California,

Berkeley. He has experience in computational materials science and material characterization. His research focuses on nucleation, growth, and microstructural evolution of materials. His email address is james.mastandrea@jhuapl.edu.



Christopher M. Peitsch, Research and Exploratory Development Department, Johns Hopkins University Applied Physics Laboratory, Laurel, MD

Christopher M. Peitsch is a materials/non-destructive evaluation (NDE) researcher in APL's Research and Exploratory Development Department. He has a BS in electrical engineering from the University of Maryland and an MS in electrical and computer engineering from the University of Delaware. He is a subject-matter expert in the area of micro-focus x-ray computed tomography. His recent work focuses on improved methods for characterization and qualification of materials and structures in additive manufacturing, including the application of advanced statistical analysis and machine learning. His email address is christopher.peitsch@jhuapl.edu.



Timothy J. Montalbano, Research and Exploratory Development Department, Johns Hopkins University Applied Physics Laboratory, Laurel, MD

Timothy J. Montalbano is a materials scientist in APL's Research and Exploratory Development Department. He has a BS and an MS in mechanical engineering from Villanova University and a PhD in materials science and engineering from the University of California. Timothy specializes in x-ray diffraction (XRD) and electron microscopy techniques. He is capable of performing Rietveld refinement on XRD patterns to estimate nanoscale grain sizes and residual strain. For electron microscopy, he has made use of electron backscattered diffraction to perform orientation mapping and specialized Schmid factor analysis. He is involved in projects involving reactive additive manufacturing, hypersonic coatings, and metal matrix composites (MMCs) and is the point of contact for an optical particle sizer that can measure fibers or particles ranging from a few microns to a few millimeters. His email address is timothy.montalbano@jhuapl.edu.



Cavin T. Mooers, Research and Exploratory Development Department, Johns Hopkins University Applied Physics Laboratory, Laurel, MD

Cavin T. Mooers is an electron microscopist/spectroscopist in APL's Research and Exploratory Development Department. He has an AA from the electron microscopy technician program at Madison Area Technical College. His email address is cavin.mooers@jhuapl.edu.



Joseph J. Sopcisak, Research and Exploratory Development Department, Johns Hopkins University Applied Physics Laboratory, Laurel, MD

Joseph J. Sopcisak is a materials scientist in APL's Research and Exploratory Development Department. He has a BS in materials science from the University of Pittsburgh and an MS in materials science from the University of Virginia. Joe has a broad background in materials characterization, physical metallurgy, alloy design, and most extensively, ferromagnetic materials for both hard and soft magnet applications. He is experienced in colloids, ceramics, bulk metallic glasses, laser-metal interactions, and disorder-order phase transformations. His email address is joe.sopcisak@jhuapl.edu.



Ryan H. Carter, Research and Exploratory Development Department, Johns Hopkins University Applied Physics Laboratory, Laurel, MD

Ryan H. Carter is an additive manufacturing engineer in APL's Research and Exploratory Development Department. He has a BS in mechanical engineering from York College and 7 years of experience in additive manufacturing and custom fabrication. He currently leads fabrication efforts for the additive manufacturing metal lab. With experience in polymer/metal additive manufacturing (ranging from desktop to industrial systems) and the design of custom additive systems, Ryan serves as an engineering resource for all aspects of additive manufacturing. He is experienced in multiple design and analysis software packages for conventional and additive fabrication (SolidWorks, Creo, Ansys, nTopology). His email address is ryan.carter@jhuapl.edu.

Steve Szczesniak, Research and Exploratory Development Department, Johns Hopkins University Applied Physics Laboratory, Laurel, MD

Steve Szczesniak is a senior additive manufacturing technician in APL's Research and Exploratory Development Department. He is a toolmaker by trade, with 30+ years of experience with electrical discharge machining. His email address is steve.szczesniak@jhuapl.edu.



Morgana M. Trexler, Research and Exploratory Development Department, Johns Hopkins University Applied Physics Laboratory, Laurel, MD

Morgana M. Trexler is a program manager in APL's Research and Exploratory Development Department. She has a BS in materials science and engineering from Carnegie Mellon University and an MS and a PhD in materials science and engineering from the Georgia Institute of Technology. She leads a diverse and expanding portfolio of research projects in the Science of Extreme and Multifunctional Materials Program and previously served as the supervisor of REDD's Multifunctional Materials and Nanostructures Group. Dr. Trexler is APL's first-ever female Master Inventor; is a Hopkins Extreme Materials Institute (HEMI) Fellow; serves on the Maryland Science Center's Science Council; and was a recipient of Georgia Tech's 2015 Council of Outstanding Young Engineering Alumni Award, Maryland Science Center's 2014 Young Engineer Award, APL's 2010 Invention of the Year Award, and Georgia Tech's 2009 Luther Long Memorial Award in Engineering Mechanics. Her email address is morgana.trexler@jhuapl.edu.



Steven M. Storck, Research and Exploratory Development Department, Johns Hopkins University Applied Physics Laboratory, Laurel, MD

Steven M. Storck is a senior materials scientist and project manager in APL's Research and Exploratory Development Department. He has a BS, an MS, and a PhD in mechanical engineering, all from the University of Maryland, Baltimore County. Dr. Storck has more than 10 years of experience in materials development for additive manufacturing and computational design. He is currently leading a US government effort focused on laser-processing of NiTi shape memory alloys for tailored actuation for deployable structures. Additionally, he leads several DOD, NASA, and APL independent research and development efforts focused on development of novel materials including MMCs and refractory metals using laser processing techniques, topology optimization, and process modification for functional material enhancement. His email address is steven.storck@jhuapl.edu.